

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :
: :
Doms et al : Confirmation No. 6825
: :
Application No.: 10/032,311 : Examiner: Jeffrey S. Parkin
: :
Filed: December 21, 2001 : Group Art Unit: 1648

For: *Lipoparticle comprising a protein and methods of making and using the same*

STATEMENT UNDER 37 C.F.R. §1.48(a)

I am a joint inventor of the above-identified application. A petition included herewith requests that I be added as an inventor. The error occurred to the best of my knowledge without deceptive intent.

John Balliet

By: 

Date: 20 NOV 07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Michael Endres

By: 

Date: Nov. 17, 2007

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Dennis Kolson

By: *Dennis Kolson*

Date: 11/16/07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :
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Doms et al : Confirmation No. 6825
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Application No.: 10/032,311 : Examiner: Jeffrey S. Parkin
: :
Filed: December 21, 2001 : Group Art Unit: 1648

For: **LIPOPARTICLE COMPRISING A PROTEIN AND METHODS OF MAKING AND
USING THE SAME**

STATEMENT UNDER 37 C.F.R. §1.48(a)

The undersigned, as an authorized officer of Trustees of the University of Pennsylvania, hereby states that it is the Assignee of the above referenced application pursuant to that certain Assignment of Patent Rights executed by Robert W Doms, Joseph Rucker, Trevor L. Hoffman, Paul Bates, James A Hoxie, (hereinafter the "Assignor") to Trustees of the University of Pennsylvania and recorded in the United States Patent and Trademark Office at Reel 014974, Frame 0498, on August 11, 2004, a copy of which is attached hereto and made a part hereof. Trustees of the University of Pennsylvania, further states that it hereby consents to the Request to Add an Inventor in the above referenced application and further the omission occurred without deceptive intent on our part.

The Trustees of the University of Pennsylvania

By: Jennifer Langub

Date: 11/15/2007

ASSIGNMENT

WHEREAS, we, **Robert W. Doms, Joseph Rucker, Trevor L. Hoffman, Paul Bates and James A. Hoxie** respectively citizens of the United States of America, with respective post office addresses of 1230 S. Leopard Road, Berwyn, PA 19312, 2300 Pine Street. 7#, Philadelphia, PA 19103, 184 West Albemarle Road, Lansdowne, PA 19050, 307 Riverview Road, Swarthmore, PA 19081 and 560 Winston Way, Berwyn, PA 19312 hereinafter generally referred to as "ASSIGNORS," have invented a certain new and useful

**A LIPOPARTICLE COMPRISING A PROTEIN AND METHODS
OF MAKING AND USING THE SAME**

for which we have executed a United States patent application, Application Attorney Docket No. 53893-5012-01, U.S. Patent Application No. 10/032,311, filed December 21, 2001, and

ASSIGNORS authorize ASSIGNEE or ASSIGNEE's representative to insert the Application Number and Filing date of this application if they are unknown at the time of execution of this Assignment.

WHEREAS, **The Trustees of the University of Pennsylvania, a not-for profit corporation** having a place of business at **3160 Market Street, Suite 200, Philadelphia, PA 19104-3147**, hereinafter generally referred to as "ASSIGNEE", is desirous of acquiring said invention and said patent application.

NOW, THEREFORE, in consideration of the sum of One Dollar and other good and valuable executed consideration, the full receipt and sufficiency of all of which are hereby acknowledged and intending to be legally bound hereby, we, the undersigned ASSIGNORS, hereby agree to sell, assign, transfer and convey and by these presents do sell, assign, transfer and convey unto the above-named ASSIGNEE, the whole and entire right, title and interest

in and to said invention as described in the above patent application, for the territory of the United States and its possessions and territories and all foreign countries;

in and to the above patent application and any and all related United States and foreign patent applications disclosing said invention, including provisionals, non-provisionals, divisions and continuations thereof, along with all rights of priority created by said patent application under any treaty relating thereto; and

in and to all United States and foreign patents which may be granted on any and all of said patent applications, including extensions, reissues and reexamination certificates thereof;

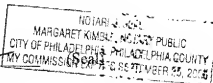
said invention, application(s) and patent(s) to be held and enjoyed by the above-named ASSIGNEE, for ASSIGNEE's own use and benefit, and for ASSIGNEE's legal representatives and assigns to the full end of the term or terms for which said patent(s) may be granted, as fully and entirely as the same would have been held by the undersigned ASSIGNORS had this assignment and sale not been made; and for the aforesaid consideration ASSIGNORS hereby covenant, agree and undertake to execute, whenever requested by the above-named ASSIGNEE, all patent applications, assignments, lawful oaths and any other papers which ASSIGNEE may deem necessary or desirable for securing to ASSIGNEE or for maintaining for ASSIGNEE all the patent(s) hereby assigned or agreed to be assigned; all without further compensation to the undersigned ASSIGNORS.

10/21/01

Date



Robert W. Doms

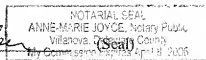


11/25/03

Date

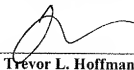


Joseph Rucker

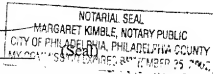


10/24/03

Date



Trevor L. Hoffman

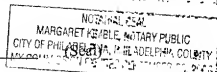


10/22/03

Date



Paul Bates

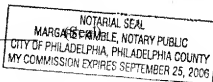


11/10/03

Date



James A. Hoxie

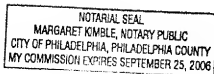


STATE OF Pennsylvania :
 : ss.
COUNTY OF Philadelphia :

Before me, a notary public in and for the State and County aforesaid, on this 22 day of October, 2003, personally appeared **Robert W. Doms**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Notary Public Margaret Kimble

My Commission Expires:

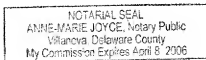


STATE OF Pennsylvania :
 : ss.
COUNTY OF Delaware :

Before me, a notary public in and for the State and County aforesaid, on this 25 day of November, 2003, personally appeared **Joseph Rucker**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Anne-Marie Joyce
Notary Public

My Commission Expires:



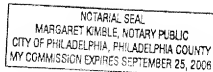
STATE OF Pennsylvania :
COUNTY OF Philadelphia : ss.

Before me, a notary public in and for the State and County aforesaid, on this 24 day of October, 2003, personally appeared **Trevor L. Hoffman**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Margaret Kimble
Notary Public

My Commission Expires:

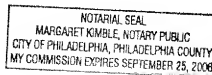
STATE OF Pennsylvania :
COUNTY OF Philadelphia : ss.



Before me, a notary public in and for the State and County aforesaid, on this 22 day of October, 2003, personally appeared **Paul Bates**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Margaret Kimble
Notary Public

My Commission Expires:



STATE OF Pennsylvania :
 : ss.
COUNTY OF Philadelphia :

Before me, a notary public in and for the State and County aforesaid, on this 22 day of October, 2003, personally appeared **James A. Hoxie**, who being to me personally known, and who having first executed the foregoing instrument in my presence and have been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Margaret Kimble
Notary Public

My Commission Expires:

